Index

Page numbers in bold refer to pages where the entry is discussed in relative detail. A comprehensive index of cases can be found at the beginning of the book. The index below only contains entries to cases with well-known popular names (e.g. “Van Gend & Loos”).

A

abuse of a dominant position
  EU, 231
  Germany, 148, 227
  Japan, see private monopolization (Japan)
abuse of a superior bargaining position (Japan), 119, 134
acção popular (Portugal), 178
access to the file of the competition authorities
  Austria, 196
  comparison, 199-200
  EU, 191-199
  France, 196
  Germany, 196
  Japan, 74-18
  the Netherlands, 196
account of profits, 211
Act Against Restraints of Competition (Germany), 148, 152, 177, 180, 186, 188, 227
Act Against Unjustifiable Premiums and Misleading Representations (Japan), 65
action en cessation (Belgium), 202
actions for damages
  EU, 161-200
  follow-on, see follow-on actions
  highest recoveries, 114 (note 127), 120 (note 22), 211 (note 27), 230-232 (figures 11.1 and 11.2)
  Japan, 49-80
  legal basis (EU), 161-166
  legal basis (Japan), 49-60
  stand-alone, see stand-alone actions
actio popularis, 96
activist lawyers
  EU, 200
  Japan, 80, 96, 125
activist plaintiffs
  derivative actions, 80, 125
  Japan, 42, 79-80, 95-96, 114-116
Act on Access to Information Held by Administrative Organs (Japan) see Information Disclosure Act (Japan)  
Act on Costs of Civil Procedure (Japan), 100 (note 60), 124 (note 45)  
Act on General Rules for Application of Laws (Japan), 172 (note 56)  
Act on Popular Actions (Portugal), 178 (note 90)  
Administrative Case Litigation Act (Japan), 30 (note 17), 93  
administrative control model (of competition law), 151, 154  
Administrative Information Disclosure Act (Japan), see Information Disclosure Act (Japan)  
administrative litigation (Japan), 30, 93  
air cargo cartel (EU), 179, 185, 186, 198 (note 214), 225, 232  
Albacom v. Telecom Italia case (Italy), 230  
amakudari (Japan), 114  
AMD v. Intel case (Japan), 58, 138 (note 57), 142 (note 71), 171  
American rule on attorney fees, 69  
Amicus curiae  
 European Commission, 189-190, 198  
 JFTC, 73, 191  
national competition authorities, 190  
annulment proceedings against JFTC decisions (Japan), 51, 72  
Antimonopoly Act  
Art. 2(5), at 28 (note 5), 134 (note 34), 280, see also private monopolization (Japan)  
Art. 2(6), at 28 (note 4), 134 (note 33), see also unreasonable restraint of trade (Japan)  
Art. 2(9), at 28 (note 6), 134, see also unfair trade practices (Japan)  
Art. 3, at 28 (notes 4-5), 134 (notes 33-34)  
Art. 7, at 51 (note 7)  
Art. 7-2, at 51 (note 8), 126 (note 55), 246 (notes 88-89)  
Art. 19, at 28 (note 6), 122, 134 (note 35), see also unfair trade practices (Japan)  
Art. 24, at 81, 86 (note 21), 203  
Art. 25, see Article 25 actions  
Art. 26, at 51 (note 10), 53 (note 20)  
Art. 28, at 47 (note 61)  
Art. 29, at 47 (note 62)  
Art. 39, at 76 (note 157)  
Art. 45, at 126 (note 54)  
Art. 49, at 51 (notes 7, 9, 11)  
Art. 50, at 51 (notes 8, 9, 11)  
Art. 66, at 51 (note 12), 52 (note 15)  
Art. 70-15, at 74-75, 76  
Art. 77, at 51 (note 14)  
Art. 80, at 72 (note 130)  
Art. 83-2, at 88
Art. 83-3, at 87, 130 (note 17)
Art. 83-4, at 89
Art. 83-5, at 89
Art. 84, at 35, 54, 73, 130, 138, 190
Art. 85, at 54
Art. 87, at 54
drafting history of provisions on damages, 35-40
antitrust law
definition, 28
EU, 28-29
Japan, 28, 134
appeal
against Commission decisions, 188, 221
against JFTC decisions, 51
applicable law
EU, 169-170
example (EU), 170-171
Japan, 172
arbitral awards in violation of antitrust law, enforcement of, 121-123, 212-219
  Belgium, 217
  EU, 212-214
  France, 215-216
  Japan, 121-123
  the Netherlands, 217
  Switzerland, 213 (note 33)
arbitration, see arbitral awards in violation of antitrust law, enforcement of
Arbitration Act (Japan), 121
Article 25 actions (Japan), 50-54
  elements of, 50
    comparison with tort actions, 57-58
    procedural rules, 54
    statute of limitations, 53
Ashurst study (EU), 223, 225 (notes 14, 17)
Atsugi naval base bid-rigging case (Japan), 56
Attorney Act (Japan), 64 (note 86)
arney fees, recovery of
  Belgium, 184
  England, 184
  EU, 183
  Germany, 184
  Japan, 70
audit request prior to residents’ lawsuits (Japan), 97-100
Ausforschungsbeweis (Germany), 181
Australia (third party funding), 70, 185
Austria
  access to the file of the competition authority, 196
  origins of antitrust law in Europe, 151
Austrian Banks case (EU), 193
Aviation Authority case (Sweden), 211 (note 27), 230

B
bar exam (Japan), 80
Barroso, José Manuel, 158
basic agreement in case of bid-rigging (Japan), 56
Becker, Gary, 237 (note 54), 244, 251
Belgium
  arbitral awards in violation of antitrust law, enforcement of, 217
  attorney fees, recovery of, 184
  collective actions, 181
  contingency fees, 183
  court costs, recovery of, 184
  disclosure, 181
  evidentiary value of decisions by competition authority, 188
  interim relief, 204
  passing-on defense, 176
  standing of indirect purchasers, 176
Benetton case, see Eco Swiss v. Benetton case (EU)
Beseitigung (Germany), 203
bid-rigging, see also residents’ lawsuits
  basic agreement (Japan), 56
  ceiling price (Japan), 57, 95, 105, 110, 114
  complicity of government officials (Japan), 114
  liquidated damages (EU, Germany), 166
  liquidated damages (Japan), 59-60
  criminal offense (Japan), 109 (note 101)
  derivative actions, 124-125
  disqualification to bid (Japan), 124, 247-248
  EU, 284
  number of private cases involving, 135 (figure 6.3)
  proof, 56-57, 109-110
  specific arrangement (Japan), 56
  the Netherlands, 232, 284
Bill of Industrial Order (Japan), 36-37
binding effect
  of decisions by competition authorities, 71-73, 187
bitumen cartel (EU), 225
bouwfraude (the Netherlands), 232, 284
INDEX

Bowie, Robert, 153
breach of statutory duty (England), 164, 165 (note 17)
brewers and pubs, contracts between, 207
bridges bid-rigging case, see steel bridges bid-rigging case
BRT v. Sabam case (EU), 154, 162 (note 3)
Brussels I Regulation (EU), 166
budget, 253
   of the JFTC, 47
   of the EU, 159
Bundesgerichtshof (Germany), 152, 177 (note 86), 181 (note 106)
Bundeskartellamt (Germany) 152, 196-197, 284
bunsho sōfu shokutaku 文書送付嘱託 (commission (request) to send documents
   (from the courts to the JFTC)), 77
bunsho teishutsu meirei 文書提出命令 (document disclosure order), 67, 77
burden of proof, 71, 73, 175, 176, 177, 263
bureaucracy, 44
business secrets, disclosure of
   EU, 195
   Japan, 75, 76, 88
“but for” test, 262-263, see also causation

C
candle wax cartel (EU), 225
Cardiff Bus case (England), 173
car glass cartel (EU), 225
Carpagnano, Michele, 224
Cartel Damages Claims NV, 181, 193, 232
cartels
   air cargo cartel (EU), 179, 185, 186, 198 (note 214), 225, 232
   animal feed phosphates cartel (EU), 225
   beer cartel (EU), 225
   bitumen cartel (EU), 225
   candle wax cartel (EU), 225
   car glass cartel (EU), 225
   cathode ray tubes cartel (EU), 225
   cement cartel (Germany), 181, 186, 232
   elevator cartel, 30 (note 16), 186, 225
   fiber-optic cables cartel (Japan), 124
   flat glass cartel (EU), 225
   football (replica) shirts cartel (UK), 180
   gas insulated switchgear cartel (EU), 193, 225, 282
   hydrogen peroxide cartel (EU), 181, 186, 232, 193
   kerosene cartel (Japan), see oil cartel
   LCD panels cartel (EU), 225
marine hose cartel (EU), 225
oil cartel (Japan), 61, 64, 72, 131, 141, 257-264, 289
overcharge, 247, 273
sugar cartel (Spain), 230
synthetic rubber cartel (EU), 225
vitamins cartel (EU), 167 (note 27), 172-173, 176-177, 198 (note 214), 230
CAT, see Competition Appeal Tribunal
causation, 272-273, 274
before and after test, 262
burden of proof, 274
but for test, 262-263
Japan, 50, 55, 257, 263, 270
EU, 163, 256
CDC, see Cartel Damages Claims NV
CDC hydrogen peroxide case (Germany, Finland), 193
cease-and-desist orders (by the JFTC), 51
cement cartel (Germany), 181, 186, 232
chakushukan 着手金 (initial retainer (of attorney)), 68
checks and balances, 47
chihō seido chōsa kai 地方制度調査会 (Local Government System Research Council), 111
citizen lawsuits (Japan), 93
Citizens' Ombudsman (Japan), 95-96, 283, 287, 288
Civil Code (Belgium)
   Art. 1376, at 210 (note 23)
   Art. 1382, at 165 (note 14), 176
Civil Code (France),
   Art. 1376, at 210 (note 23)
   Art. 1382, at 176
Civil Code (Germany)
   § 398, at 181
   §§ 812-822, at 210 (note 23)
Civil Code (Italy)
   Art. 1338, at 165 (note 18), 187 (note 141)
Civil Code (Japan)
   Art. 90, at 117, see also voidness (Japan)
   Art. 404, at 61 (note 63), 71 (note 127), 174 (note 70), see also pre-judgment interest, Japan
   Art. 419, at 268 (note 61)
   Art. 420, at 59
   Art. 703, at 120, see also unjust enrichment
   Art. 704, at 120
   Art. 709, at 55
   Art. 724, at 54 (note 23)
Civil Execution Act (Japan), 278
civil liability insurance cartel (Italy), 225
Civil Provisional Remedies Act (Japan), 81
Claims Funding International plc, 185
class actions, see collective actions
Coffee, John, 285, 287
cohabitation (France), 159
collective actions
  Belgium, 181
  Denmark, 178
  England, 179-189
  EU, 177-181
  Germany, 180-181
  Japan, 62-65, 256-264
  the Netherlands, 178
  opt-in versus opt-out, 274-276
  Portugal, 178
  U.S., 62-63
collective redress, see collective actions
Combe, Emmanuel, 249
Commission decisions, binding effect of, 187
Companies Act (Japan), 123 (note 43)
compensation, see also damages
  as a goal of private antitrust litigation, 238
Competition Act (UK), 179, 188 (note 152), 281 (notes 108-110)
Competition Appeal Tribunal (UK), 280
competition law (distinction with antitrust law), 28-29
complaints
  EU, 220-221
  Japan, 126
conditional fees, 67, 182, 183
confidentiality of documents in the competition authority’s file, 74-79, 191-200, see also access to file
confidentiality ring, 89, 195 (note 195)
confidentiality order, 89, 195 (note 195)
conflict-of-laws, see also private international law
  EU, 169-171
  Japan, 171-172
Congress (U.S.), 45-46, 158
Connor, John M., 247 (note 93)
consent decisions (Japan), 52, 72
consent decrees (U.S.), 73
constitution, 152
economic, 152
residents’ lawsuits involving the, 93
constitutional model (link with private enforcement)
   EU, 159-160
   Japan, 46-47
   U.S., 45-46
construction industry
   Japan, 104, 109, 284
   the Netherlands, 232, 284
Consumer Contract Act (Japan), 65
consumers
   associations, 65, 178, 180, 259, 260, 277, 288
   damages recovered, 141 (figure 6.5), 232
   protection, 45, 65, 158, 201
contingency fees
   Belgium, 183
   definition, 67
   England, 183
   EU, 182-183
   Germany, 183
   Japan, 68
contractual damages
   EU, 165-166
   Germany, 166
   Japan, 59-60
corporate statements, 75, 190, 191, 195-198
cosmetics cases (Japan), 83-85
cost-benefit balance, 266
costs, see also court costs
   of collective actions, 241
   of indirect purchaser lawsuits, 267-269 (figures 13.2, 13.3)
Courage v. Crehan case (EU), 148, 149, 155, 162-163, 175, 207, 208, 209
Court Act (Japan), 96 (note 37)
court costs, recovery of
   Belgium, 184
   England, 184
   EU, 183
   Germany, 184
   Japan, 69-70
Court of Justice (EU), 26, 154
criminal code (Japan)
   Art. 96-3, 109 (note 101), 139 (note 58)
criminal proceedings, 74, 104, 139, 259
criminal sanctions, 139, 247
cy pres distributions, 275
Cyprus (punitive damages), 173
Czech Republic (pre-judgment interest), 187

**D**

daii soshō 代位訴訟 (action in subrogation; litigation on behalf of), 94, 112
damages
  - amount, 71, 186-187, 263
  - awarded, highest (EU), 230 (figure 11.1)
  - awarded, highest (Japan), 231 (figure 11.2)
  - calculation, 71, 186-187
  - contractual, 59-60, 165-166
  - estimation, 71, 186
  - exemplary, see punitive damages
  - interest, see interest
  - liquidated, 59-60, 166
  - punitive, 60-61, 172-174
  - treble, 37-39, 60
damnum emergens (EU), 186
*Danish Post case* (Denmark), 230
decentralization (of antitrust law enforcement, EU), 155-158
decisions by the JFTC
  - consent decisions, 52
  - formal decisions, 52
  - recommendation decisions, 52
de facto presumption, 72
Denmark, 230
  - collective actions, 178
  - passing-on defense, 177
derivative actions
  - Companies Act (Japan), 123
  - EU, 219
  - Local Autonomy Act (Japan), 94
derivative lawsuits, see derivative actions
detection (of antitrust violations)
  - by private antitrust litigation (EU), **228-229**
  - by private antitrust litigation (Japan), **136-137**, 142
  - rate, 244, 246, 249 (note 103)
deterrence (of antitrust violations), 136-137, 140, 142, 228-229, 242
  - as a goal of private antitrust litigation, 238-240
  - optimal level, 242
  - specific deterrence, 140
  - under-deterrence (Japan), 246
  - under-deterrence (EU), 248
Diet (Japan), 46, 47
direct effect (of the EEC treaty), 154, 162
directors’ liability
  EU, 123-125
  Japan, 219-220
direct purchasers, 61 (note 64), 174, 182, 200, 265, 276
disclosure, 65-66, 181, see also discovery
  inter partes (of documents from the competition authority’s file), 197
discovery
  Belgium, 181
  England, 181
  EU, 181-182
  Germany, 181
  Japan, 67, 88
distributors, 59, 207, 208, 265
divided Diet (Japan), 46
document production order (Japan), 67, 77
dōi shinketsu 同意審決 (consent decision), 52
dominant position, abuse of, see abuse of a dominant position
double jeopardy, 172
Düsseldorf Higher Regional Court, 152
Dutch construction industry, see construction industry (the Netherlands)

E

Ebisu Shokuhin Kigyō Kumiai case (Japan), 54, 126
Eco-emballages case (France), 196 (note 199)
economic analysis of private enforcement, 136-140, 142, 237 (note 54), 242-254
economies
  plan-rational (vs. market-rational), 43
Eco Swiss v. Benetton case (EU), 210, 212-214, 219
Edwards mission, 37
Edwin and Lee Jeans case (Japan), 82
effectiveness, principle of, 149-150, 163, 176, 201
Ehime shrine donation case (Japan), 93
einstweilige Verfügung (Germany), 204
elevator cartel (EU), 30 (note 16), 186, 225
empirical data
  on costs, 267, 269
  on private enforcement in Japan, 127-144
  on private enforcement in the EU, 223-233
  prior studies on the EU, 223-224
EnBW Energie Baden-Württemberg AG v. Commission (EU), 193, 194
England and Wales, see also United Kingdom
  collective actions, 179-189
  contingency fees, 183
court costs, recovery of, 184

discovery, 181

empirical data, 223-224

exemplary damages, 172-173

follow-on actions, 280-283

funding of litigation, 185

interim relief, 205

legal basis for damages actions, 164

statute of limitations, 281

Enterprise Act (UK), 180 (note 100), 188 (note 152), 280 (note 107), 281 (note 108)

entrepreneurial litigation, 285-288

equivalence, principle of, 149-150, 201

Erfolgshonorar (Germany), 183

European Union

antitrust law, 28-29

competition law, 28-29

evidence, see also substantial evidence rule

access to, see discovery

of bid-rigging, 56-57, 109-110

sharing of evidence by the JFTC, 76-77

evidentiary value of decisions by competition authorities

Belgium, 188

European Commission, 187-188

Germany, 188

JFTC, 71-73

national competition authorities, 188

UK, 188

U.S., 73

ex aequo et bono (estimation of damages), 71, 186-187 (note 142)

exemplary damages, see punitive damages

exequatur, 212, 217

ex officio application of antitrust law, 210, 214, 218

extreme damage (Japan, injunctive relief), 87

ex turpi causa non oritur actio, 220

F

face-to-face selling, 83

fact pleading, 65-67

failsafe function of private enforcement, 46, 237, 238 (note 55)

fault

Japan, 55

EU, 163-165

fees, see attorney fees, conditional fees, contingency fees, filing fees

filing fees (Japan), 69, 100
fines
EU, 248
Japan, see surcharges
Finland, 193
First, Harry, 40, 44
fishing expedition (Germany, evidence), 181
flat glass cartel (EU), 225
follow-on actions, 109, 129, 225, 252, 280-283
empirical data (EU), 228-229
empirical data (Japan), 136-137, 142
U.S., 41
football (replica) shirts cartel (UK), 180
formal decisions (by the JFTC), 52
France
access to the file of the competition authority, 196
arbitral awards in violation of antitrust law, enforcement of, 215-216
standing of indirect purchasers, 176
passing-on defense, 176-177
Francovich v. Italy case (EU), 155, 162
Freedom of Information Act (U.S.), 192
Freedom of Information Act (the Netherlands), 196 (note 200)
Fujiki v. Shiseidō Cosmetics case (Japan), 83
fukōseina torihiki hōhō 不公正な取引方法 (unfair trade practices), 28
number of cases involving, 135 (figure 6.3)
fumus boni iuris, 204
funding of litigation, see also third party funding
EU, 185-186
Japan, 70
futōna torihiki seigen 不当な取引制限 (unreasonable restraints of trade), 28
number of cases involving, 135 (figure 6.3)
futō ritoku 不当利得 (unjust enrichment), 120
futō ritoku henkan seikyū 不当利得返還請求 (action for restitution of unjust enrichment), 120
Antimonopoly Act
Local Autonomy Act
future of private antitrust litigation, 233

G
Garden Cottage Foods (England), 164, 204
gas insulated switchgear cartel (EU), 193, 225, 282
Gegenstandsware (Germany), 184
General Court (EU), 26, 193-194, 221
Gerber, David, 151
Gerichtskosten (Germany), 184
Germany
access to the file of the competition authority, 196
attorney fees, recovery of, 184
collective actions, 180-181
contingency fees, 183
court costs, recovery of, 184
discovery, 181
empirical data, 224
funding of litigation, 186
interim relief, 204-205
liquidated damages, 166
ordoliberalism, 152
origins of antitrust law in Europe, 151, 152-153
passing-on defense, 177
standing of indirect purchasers, 174, 177
Gesetz gegen Wettbewerbsbeschränkungen, see Act Against Restraints of Competition
Gifu Credit Cooperative case (Japan), 118-119
gijutsu mata ha shokugyō no himitsu 技術又は職業の秘密 (technical or professional secrets), disclosure of, 88
gimuzuke soshō義務付け訴訟 (mandamus action, litigation to impose a duty), 112
Green Paper on antitrust damages actions, 157 (note 39)
Group Litigation Order (England), 179
GT Linien A/S case (Denmark), 177 (note 88), 230
guidance on quantifying harm (EU), 187
guidelines on sharing of evidence (JFTC), 76-77

H
haijo sochi meirei 排除措置命令 (cease-and-desist order), 51
Hausfeld LLP, 186, 200 (note 220)
himitsu hoji meirei秘密保持命令 (protective order, confidentiality order), 89
history of private antitrust litigation
   EU, 151-160
   Japan, 35-48
hōkoku報告 (complaint (to the JFTC)), 126
hōritsujō no suitei法律上の推定 (legal presumption), 72
Hungary, 182 (note 113), 187
hydrogen peroxide cartel (EU), 181, 186, 232, 193

I
ichijirushii songai著しい損害 (extreme damages (as a requirement for injunctive relief)), 87
ihan sengen shinketsu違反宣言審決 see ihō sengen shinketsu違法宣言審決
ihōsei 違法性 (unlawfulness, wrongful conduct), 55
ihō sengen shinketsu 違法宣言審決 (JFTC decision annulling JFTC order but affirming the existence of an antitrust violation), 52

Illinois Brick case (U.S.), 61, 174, 276
Illinois Brick Repealer Statutes (U.S.), 61 (note 64)
impact assessment accompanying White Paper on antitrust damages actions, 223, 256 (note 4)
in camera examination of documents (Japan), 89

indemnité de procédure (Belgium), 184
indirect purchaser lawsuits, see also standing of indirect purchasers
   Japan, 61, 255-272
   EU, 174, 272-277
   merits, 264-266
   problems, 266-272
   U.S., 61
industrial policy, 43
inflation, 258, 263
Information Disclosure Act (Japan), 78-79, 199

ingakankei 因果関係 (causal relationship), 55
inhabitant litigation, 93

injunctions
   Belgium, 202-203, 204
   Besetigung (Germany), 203
   empirical data (EU), 226
   empirical data (Japan), 132 (figure 6.2), 136
   England, 202, 205
   EU, 201, 277-278
   Germany, 203, 204-205
   Japan, 81-89, 277-280
   mandatory (England), 202
   permanent (Japan), 82, 86-88
   prohibitory (England), 202
   quia timet injunctions (England), 202
   scope of conduct (Japan), 86, 278
   Unterlassung (Germany), 203, 205 (note 22)
Institut de droit de la concurrence, 224
Institute of Competition Law, 224
insurance (for legal expenses), 185
intent, 55, 163-165
interest, see also pre-judgment interest
   EU, 173-174, 187
   Japan, 61, 71, 120
   U.S., 71
interested persons (Japan, access to file), 74
Interest Rate Restriction Act (Japan), 119
interim injunctions, see interim relief
interim relief
  Belgium, 204
  England, 205
  EU, 202, 204-205
  Germany, 204-205
  Japan, 81-85
interlocutory injunctions, see interim relief
international cases (jurisdiction and applicable law), 166-172
inter partes disclosure (of documents from the competition authority’s file), 197
Ireland, 173, 181, 185
Issacharoff, Samuel, 286
Italy, 165 (note 18), 176 (note 79), 186-187,
  empirical data, 224, 225, 229, 230 (note 41), 232
iyakukinjōkō 違約金条項 (contractual penalty clause), 59

J
Japan Fair Trade Commission
  criminal prosecutions, 104, 107, 139, 140 (note 60), 247, 257, 259
decisions, see JFTC decisions
guidelines on sharing of evidence, 76-77
opinion on amount of damages, 73
orders, see JFTC orders
surcharges, 51, 139, 246-247
jet fuel bid-rigging case (Japan), 120 (note 22), 231
JFTC, see Japan Fair Trade Commission
JFTC decisions
  consent decisions, 52, 72
  formal decisions, 52
  recommendation decisions, 52
JFTC orders
  cease-and-desist orders, 51
  surcharge orders, 51
jijitsu jō no suitei 事実上の推定 (de facto presumption), 72
jiken kiroku 事件記録 (file (of the JFTC)), 74
Johnson, Chalmers, 43
jōhō kōkaihō 情報公開法 (Information Disclosure Act) see Information Disclosure Act
juchūchōsei 受注調整 (specific arrangement (in bid-rigging cases)), 56
jūmin kansa seikyū 住民監査請求 (audit request by residents’ (prior to residents’ lawsuit)), 97
jūmin soshō 住民訴訟 (residents’ lawsuits), 91, 93
jurisdiction

Maklu 343
Competition Appeal Tribunal (England), 179 (note 97), 280-281
High Court (England), 179 (note 97), 281, 283 (note 119)
in international cases (EU), 166-169
in international cases (Japan), 171
injunctive relief (Japan), 87

jury trial, 286

K

kabunushi daihyō soshō 株主代表訴訟 (derivative actions), 123
kachōkin 課徴金 (surcharges), 246
kachōkin genmen 課徴金減免 (leniency), 126
kachōkin nófu meirei 課徴金納付命令 (surcharge orders), 51
kaisan seikyū 解散請求 (demand for dissolution (of the local assembly)), 92
kaishoku seikyū 解職請求 (demand for a recall), 92
kankoku 勧告 (recommendation (by the JFTC)), 52
kankoku shinketsu 勧告審決 (recommendation decision (by the JFTC)), 52
kansaín 監査委員 (audit board (which assesses residents’ audit request)), 97
kansa seikyū 監査請求 (audit request (before residents’ lawsuit)), 97
kansei dānō 官製談合 (bid-rigging with complicity of government officials), 114
Kaō Cosmetics case (Japan), 84
Kaplow, Louis, 239-240
KapMuG (Germany), 180 (note 103)
kari no chii 仮の地位 (provisional status), 81
kari shobun meirei 仮処分命令 (provisional disposition), 81
kashtsu 過失 (negligence), 55
Kawachiya v. Shiseidō Cosmetics case (Japan), 84
kenri shingai 権利侵害 (infringement of rights, wrongful conduct), 55
kerosene cartel (Japan), see oil cartel (Japan)
kihōgōi 基本合意 (basic agreement (in bid-rigging cases)), 56
kiykō 棄却 (dismissal (on the merits)), 50
Kime draft, 37-39, 60
Kime, Posey T., 37
koi 故意 (intent), 55
kōjōryōzoku 公序良俗 (public policy and good morals), 117
Komninos, Assimakis, 238 (note 57)
Kozuka, Sōichirō, 91 (note 2), 115
kyakka 却下 (dismissal (without judging the merits)), 50
kyōdō soshō 共同訴訟 (joint litigation), 63
kyūiken seido 求意見制度 (request system (for JFTC’s opinion on damages)), 73

L

Landes, W.M., 251
law and economics, see economic analysis of private enforcement

344
law firms, 79-80, 200
lawyers
  activist, 80, 96, 125, 288
  per capita, 68, 80, 143
legal aid, 261
legal expenses insurance, 185
legislative history
  damages (Japan), 35-39
  EEC Treaty, 153
  injunctions (Japan), 85-86
legislature, 45-48, 158-159
legitimacy
  of the EU, 159
Lei de acção popular, see Act on Popular Actions (Portugal)
leniency, see also leniency material
  EU, 192-200
  Japan, 75, 76, 78, 126
leniency material, 75, 76, 78, 191, 192-200
lex fori principle, 169
limitation period, see statute of limitations
Linde v. Halyvourgiki, 216, 218
liquidated damages
  Japan, 59-60
  EU, 166
litigation costs, see costs, court costs
litigation financing, see third party funding
local autonomy, 91-92
 Local Autonomy Act (Japan), 91
local governments, 30, 91-92, 96
“loser pays” rule, 69-70, 183
loss
  actual loss, 186
  deadweight loss, 244, 247, 248
  of profit, 186
lucrum cessans (EU), 186

M
MacArthur, General, 35
Ma Liste de Courses case (France), 196
Manfredi v. Lloyd Adriatico Assicurazione case (EU), 148, 149, 155, 162-163, 164, 172, 175, 186, 207, 210, 276
Marketing Displays International case (the Netherlands), 217
Market Practices and Consumer Protection Act (Belgium), 202 (notes 6 and 8)
Marktpрактикиen, Wet betreffende (Belgium), 202 (notes 6 and 8)
Marshall II case (EU), 187
Masterfoods case (EU), 188, 209
Matsushita television resale price maintenance case (Japan), 61, 72, 141
Max Factor Cosmetics case (Japan), 85
meirei 命令 (order (by the JFTC)), 51
merger control, 28 (note 7), 29, 193
mergers, 28 (note 7), 29, 193
mesures provisoires (Belgium), 202, 204
Mikiya, Osamu, 80
Miller, Geoffrey P., 286
Minamata litigation, 115
Ministry of International Trade and Industry (MITI) (Japan), 47, 85, 259, 262, 271
Ministry of Land, Infrastructure, Transport and Tourism (Japan), 59
minshū soshō 民衆訴訟 (citizen actions), 93
misleading representations, 65
MITI, see Ministry of International Trade and Industry
Monnier, Constance, 249
monopolization, see private monopolization
Mors v. Labinal case (France), 230
mōshitate tesūryō 申立手数料 (filing fees), 69, 100
Motta, Massimo, 248-249
mukashitsu sekinin 無過失責任 (strict liability), 50
mukō 無効 (void), 117
Mulheron, Rachael, 274
multiple defendants, 167, 168, 170
municipalities, 30, 92, 96, 166
Musterverfahren (Germany), 180

N

Nagoya subway construction bid-rigging case (Japan), 125 (note 51)
Nakamura, Kishirō, 105
National Grid case (England), 190, 198, 198-199 (note 217), 282
ne bis in idem, 172
negative value claims, 62
negligence
Japan, 50, 55, 92, 97
EU, 163-165
nejire kokkai ねじれ国会 (divided Diet), 46
Netherlands, the
access to the file of the competition authorities, 196
bid-rigging in the construction industry, 232, 284
collective actions, 178
funding of litigation, 185-186
Nishimura & Asahi, 79 (note 178)
Nishimura, Nobufumi, 40
“no cure, no pay”, 67, 182
notice pleading, 65, 67
“no win, no fee”, see “no cure, no pay”
null and void, see nullity
nullity
EU, 207
Japan, see voidness

O

objective action, see actio popularis
occupation authorities, American, 35, 37, 40-41, 92
oil cartel (Japan), 61, 64, 72, 131, 141, 257-264, 289
oil crisis (Japan), 258
oil shock (Japan), 258
Ōkawa, Takashi, 80
okotaru jijitsu 怠る事実 (negligence (challenged in residents’ lawsuits)), 92, 97
ombudsman
Citizens’ Ombudsman (Japan), 95-96, 283, 287, 288
Denmark, 178
one-way fee shifting, 69, 70, 101
OPEC, 258, 262, 271
opportunity costs (of litigation), 241, 253, 266, 268
optimal deterrence, 242
optimal sanction, 242, 243
opt-in collective action, 63, 178, 256, 272-274, 276, 286
opt-out collective action, 62-64, 177, 178, 181, 274, 275-276
ordoliberalism, 152
origins of private antitrust litigation, see history of private antitrust litigation
overcharge, average
EU, 273
Japan, 71, 247
overconfidence bias, 245, 249, 250

P

pactum de quota litis, 67, see also contingency fees
Pareto conflict theorem, 239 (note 59)
parliamentary system, 46, 159
passing-on defense
Belgium, 176
Denmark, 177
EU, 274
France, 176-177
Germany, 177
Japan, 61-62
patents (invalidity of duty to pay royalties after expiry), 122
penalty clause (Japan), 59-60
permanent injunctions, 82, 202, 205
Peyer, Sebastian, 224
Pfleiderer case (EU), 196-197
plaintiffs, type of (Japan), 141 (figure 6.5)
plaintiffs’ bar
   EU, 200
   Japan, 79-80
plaintiffs’ lawyers, see plaintiffs’ bar
pleading standards
   Japan, 67
   U.S., 65-66
Poland, 187
Portugal
   ação popular, 178
   collective actions, 178
Posner, Richard, 239, 251
predatory pricing, 69, 88, 173, 279-280, see also pricing at unjustly low prices
pre-existing documents (leniency), 191, 195, 197
Prefectures, 91, 96
pre-judgment interest
   EU, 173-174, 187
   Japan, 60-61
preliminary injunctions, see interim relief
presumption (based on decision by competition authorities)
   Belgium, 188
   EU, 187-189
   Germany, 188
   Japan, 72
   UK, 188
pricing
   at unjustly low prices, 134, 279
   predatory, 69, 88, 173, 279-280
principle of effectiveness, see effectiveness, principle of
principle of equivalence, see equivalence, principle of
private attorney general
   Japan, 114-116
   U.S., 45, 285
private enforcement of antitrust law
   arguments against, 238
arguments in favor, 237
meaning, 29
private enforcement regimes, U.S. scholarship regarding, 45, 158
private international law
  EU, 166-171
  Japan, 171-172
private monopolization (Japan), 28, 86, 134, 247, 278, 280
  number of cases involving, 135 (figure 6.3)
procedural autonomy, principle (EU), 150
procedural law, 147
professional secrets, 88, see also business secrets, disclosure of
  protective order, 89
provisional injunctions, see interim relief
public interest litigation, 287
public policy (and antitrust law)
  Japan, 117-118
  EU, 210, 212-213
  the Netherlands, 213
punitive damages
  Cyprus, 173
  England, 172
  EU, 172-174
  Ireland, 173
  Japan, 60-61
pyramid scheme, 131, 138 (note 54)

Q
quia timet injunctions, 202

R
RCA cartel (Italy), see civil liability insurance cartel (Italy)
recall (of mayor, prefectural governor, etc.) (Japan), 92
rechtsplegingsvergoeding (Belgium), 184
recommendation decisions (by the JFTC), 52
Regulation 17/62 (EU), 153, 154-155
Regulation 44/2001 (EU), see Brussels I Regulation
Regulation 1049/2001, see Transparency Regulation
Regulation 1/2003 (EU), 156
Regulation 864/2007, see Rome II Regulation
Regulation 593/2008 (EU), see Rome I Regulation
regulatory culture (Japan), 44
remedies (EU), 147-149, 207
  Japan
repeat offenders, 246, 248
resale price maintenance, *see* vertical price-fixing
residents’ lawsuits (Japan), 91-116
  amendment, 111
  attorney fees, 101
  case law, 104-111
  filing fee, 100
  impact on deterrence, 140
  procedure, 99 (figure 4.1)
  significance, 114-116
  time limits, 99 (figure 4.1)
  transplanting to the EU, 284-285
res judicata, 62, 189, 214
restitutio ad integrum, 172
restitution, 120, 210
restitutionary damages (England), 211 (note 24)
restraints of trade, *see* unreasonable restraints of trade (Japan)
review of arbitral awards, *see* arbitral awards in violation of antitrust law, enforcement of
rigaikankeinin 利害関係人 (interested persons (with respect to access to the file)), 74
right to damages (based on the Treaty), 162-163
rikōru リコール (recall (of mayor, prefectural governor, etc.)), 92
rinienshī リニエンシー (leniency), 126
Rodger, Barry J., 223
Rome I Regulation (EU), 169
Rome II Regulation (EU), 170
Rule 23 (Federal Rules of Civil Procedure), 41, 62 (note 73), 266 (note 52)

S

*Safeway Stores v. Twigger* case (England), 220
*Saitama Saturday Club* case (Japan), 77, 104
Salwin, Lester, 38
sanctions, optimal level of, 242, 243
sangyō chitsujo hōan 産業秩序法案 (Bill of Industrial Order), 36
sangyō seisaku 産業政策 (industrial policy), 43
*SAS* case (Sweden), *see* Aviation Authority case (Sweden)
sashitome seikyū 差止請求, 81, 94
Schutzgesetzverletzung (Germany), 177
Schutznormtheorie (Germany), 177
Seal bid-rigging case (Japan), 117, 120, 129
seikō hōshūkin 成功報酬金 (success fee), 68
seishiki shinketsu 正式審決 (formal decision (of the JFTC, prior to 1993)), 52
Sensui, Fumio, 40
sentiteitōjisha 選定当事者 (representative parties (in litigation)), 63
separation of powers
   EU, 158-160
   Japan, 45-48
separation of State and religion, 93
settlements, 125, 130, 138, 178, 203, 225, 230-231, 232, 261, 276, 284 (note 127), 286
shareholders, 80, 123-125, 219
Shavell, Steven, 239-240
shinketsu 審決 ((JFTC) decision), 51
shinketsushū, 129
shinketsu torikeshi soshō 審決取消訴訟 (annulment proceedings (against a JFTC decision)), 51
shinketsu zenchi shugi 審決前置主義 (the principle that a damages action on the basis of the Antimonopoly Act requires a prior JFTC decision)
   historical background, 39, 41
   current system, 50-51
shinkoku 申告 (complaint (with the JFTC)), 126
shinpan 審判 (hearing (before the JFTC)), 51
shinpankan 審判官 (hearing examiner (in the administrative proceedings before the JFTC)), 51
shinpan shinbetsu 審判審決 (formal decision (of the JFTC)), 52
shinpan tetsuzuki 審判手続 (administrative proceedings (before the JFTC)), 51
shiteki dokusen 私的独占 (private monopolization), 28
   number of cases involving, figure 6.3
shokugyō no himitsu 職業の秘密 (professional secrets), 88
SNF v. Cytec (Belgium, France), 215-216, 217
songai baisō gaku no yotei jōkō 損害賠償額の予定条項 (liquidated damages clause), 59
songai baisō seikyū 損害賠償請求 (damages claim)
   Antimonopoly Act, 50
   Local Autonomy Act, 94
soshōhiyō 訴訟費用 (court costs), 69
Spain, 226 (note 21), 230
Spanish sugar cartel case, see sugar cartel case
specific arrangement (bid-rigging), 56
speed of proceedings, 270, 278
stakingsvordering (Belgium), see vordering tot staking (Belgium)
stand-alone actions, 109, 129, 225, 252, 254, 283
   empirical data (EU), 228-229
   empirical data (Japan), 136-137, 142
standing
   Belgium, 176
   EU, 174
France, 176
Germany, 177
Japan, 61
of indirect purchasers, 61, 174
residents’ lawsuits, 96
U.S., 61
State aid (EU), 29
statement of objections, 192, 193 (note 187), 196, 198
statute of limitations
Article 25 actions (Japan), 53
England, 281
EU, 149
tort actions (Japan), 53-54 (note 23)
steel bridges bid-rigging case (Japan), 59 (note 51), 80, 125 (notes 50 and 53)
Stigler, George, 251
strict liability
EU, 164
Japan, 50
Structural Impediments Initiative, 76, 119 (note 11),
subrogation, 112
substantial evidence rule (Japan), 72
success fee, 68, 183
success rate (of antitrust litigation)
EU, 228
Japan, 136
sugar cartel (Spain), 230 (note 40)
superior bargaining position, abuse of, see abuse of a superior bargaining position
surcharges (Japan), 51, 139, 246-247
surcharge order, 51
Sweden, 151, 187, 211, 230-231
Switzerland, 213
synthetic rubber cartel (EU), 225

T
Tagonoura sludge dumping case (Japan), 95
taimenhanbai 対面販売 (face-to-face selling), 83
taxpayer lawsuits, 93
tetsuzukiteki kōjo 手続的公序 (procedural public policy), 123
Thalès v. Euromissile, 215, 218
third party funding (EU), 185, 233, 288
time-bar, see statute of limitations
Tokyo High Court
jurisdiction, 54
Tokyo oil cartel case (Japan), 61, 64, 72, 131, 138, 141, 257-264
Tokyo waste incineration bid-rigging case (Japan), 110, 114, 138 (note 56), 231
   (Table 11.2)
torpedo actions, 282
tort actions
   elements of (EU), 163
   elements of (Japan), 55
   fault, 163-165
   Japan, 54-57
   statute of limitations, 53-54 (note 23)
Tōshiba Elevator case (Japan), 131, 136
trade associations, 86 (note 21), 180, 277, 288
transaction costs (of litigation), 241, 253, 266, 275, 287 (note 135)
Transparency Regulation (EU), 192
Treaty on the Functioning of the European Union, 26, 28
   Article 101(2), at 148, 154, 207-208, see also nullity (EU)
   Article 101(3), at 154, 155, 156
   Article 102, at 148, 153, 154, 208, 227, see also abuse of a dominant position
   right to damages, 162
treble damages, 41, 173-174
   in Kime draft, 37-39, 41
   U.S., 60
Trust Act (Japan), 64 (note 64)
Tsu Jichinsai case (Japan), 93
Tsuruoka oil cartel case (Japan), 54-55, 61, 64, 72, 131, 141, 257-264
Turkey, 60 (note 57), 174

U

UK, see United Kingdom
unfair competition, 36, 82, 170
Unfair Competition Prevention Act (Japan), 82
unfair trade practices (Japan), 28, 134, 279
   number of cases involving, 135 (figure 6.3)
United Kingdom, 43, 280
   empirical data, 143, 223-224, 226, 228, 229, 231
   evidentiary value of decisions by competition authority, 188
United States
   as plaintiff in litigation in Japan, 56, 77, 138, 141, 231 (table 11,2)
   attorney fees, recovery of, 69
   class actions, 62-63
   contingency fees, 68
   court costs, recovery of, 69
   discovery, 65-67
   indirect purchasers, 61
   level of private enforcement, 143
pleading standards, 65
treble damages, 60

unjust enrichment
EU, 210-211
Japan, 120

unreasonable restraints of trade (Japan), 28, 134
number of cases involving, 135 (figure 6.3)

Unterlassung (Germany), 203, 205 (note 22)

Upham, Frank, 115, 116

U.S., see United States

Usen v. Can case (Japan), 69, 141, 142

V
Van Gend & Loos case (EU), 154
van Gerven, Walter, 201 (note 5)
Verbandsklage (Germany), 180 (note 105)
Verfügung, einstweilige (Germany), 204
vertical price-fixing, 59, 61, 72, 82, 83-84, 134
vertical restraints, see also vertical price-fixing
reluctance to sue (Japan), 265
nullity (EU), 207
number of cases, 227
vitamins cartel (EU), 167 (note 27), 172-173, 176-177, 198 (note 214), 230
void, see voidness (Japan)
voidness (Japan), 117-120
voorlopige maatregelen (Belgium), 202, 204
vordering tot staking (Belgium), 202
Vorteilsabschöpfung (Germany), 180
Vorteilsausgleichung (Germany), 177

W
waste incineration plants, bid-rigging for the construction of, 110 (table 4.1), 133, 138, 140, 231 (table 11.2)
welfare economics, 239
Which? (consumer association), 180
White Paper on antitrust damages actions, 157
Wils, Wouter, 248, 251
Wirtschaftsverfassung (Germany), 152

Y
Yamato v. Japan Post case (Japan), 136, 279-280
Yokohama waste incineration bid-rigging case (Japan), 103, 138 (note 56), 231 (table 11.2), 263
Yokosuka bid-rigging case (Japan), 77, 138, 231 (table 11.2)
Yokota bid-rigging case (Japan), 231 (table 11.2)

Z
zaibatsu 財閥, 36-37
zaimu kaikeijō no kōi 財務会計上の行為 (financial or accounting acts (targeted by residents’ lawsuits)), 96