

# Index

Page numbers in bold refer to pages where the entry is discussed in relative detail. A comprehensive index of cases can be found at the beginning of the book. The index below only contains entries to cases with well-known popular names (e.g. “*Van Gend & Loos*”).

## A

- abuse of a dominant position
  - EU, 231
  - Germany, 148, **227**
  - Japan, *see* private monopolization (Japan)
- abuse of a superior bargaining position (Japan), 119, **134**
- acção popular* (Portugal), 178
- access to the file of the competition authorities
  - Austria, 196
  - comparison, 199-200
  - EU, 191-199
  - France, 196
  - Germany, 196
  - Japan, 74-18
  - the Netherlands, 196
- account of profits, 211
- Act Against Restraints of Competition (Germany), 148, 152, 177, 180, 186, 188, 227
- Act Against Unjustifiable Premiums and Misleading Representations (Japan), 65
- action en cessation* (Belgium), 202
- actions for damages
  - EU, 161-200
  - follow-on, *see* follow-on actions
  - highest recoveries, 114 (note 127), 120 (note 22), 211 (note 27), **230-232** (figures 11.1 and 11.2)
  - Japan, 49-80
  - legal basis (EU), 161-166
  - legal basis (Japan), 49-60
  - stand-alone, *see* stand-alone actions
- actio popularis*, 96
- activist lawyers
  - EU, 200
  - Japan, 80, 96, 125
- activist plaintiffs
  - derivative actions, 80, 125
  - Japan, 42, 79-80, 95-96, **114-116**

- Act on Access to Information Held by Administrative Organs (Japan) *see* Information Disclosure Act (Japan)
- Act on Costs of Civil Procedure (Japan), 100 (note 60), 124 (note 45)
- Act on General Rules for Application of Laws (Japan), 172 (note 56)
- Act on Popular Actions (Portugal), 178 (note 90)
- Administrative Case Litigation Act (Japan), 30 (note 17), 93
- administrative control model (of competition law), 151, 154
- Administrative Information Disclosure Act (Japan), *see* Information Disclosure Act (Japan)
- administrative litigation (Japan), 30, 93
- air cargo cartel (EU), 179, 185, 186, 198 (note 214), 225, 232
- Albacom v. Telecom Italia* case (Italy), 230
- amakudari* (Japan), 114
- AMD v. Intel* case (Japan), 58, 138 (note 57), 142 (note 71), 171
- American rule on attorney fees, 69
- Amicus curiae
- European Commission, **189-190**, 198
  - JFTC, 73, 191
    - national competition authorities, 190
- annulment proceedings against JFTC decisions (Japan), 51, 72
- Antimonopoly Act
- Art. 2(5), at 28 (note 5), 134 (note 34), 280, *see also* private monopolization (Japan)
  - Art. 2(6), at 28 (note 4), 134 (note 33), *see also* unreasonable restraint of trade (Japan)
  - Art. 2(9), at 28 (note 6), 134, *see also* unfair trade practices (Japan)
  - Art. 3, at 28 (notes 4-5), 134 (notes 33-34)
  - Art. 7, at 51 (note 7)
  - Art. 7-2, at 51 (note 8), 126 (note 55), 246 (notes 88-89)
  - Art. 19, at 28 (note 6), 122, 134 (note 35), *see also* unfair trade practices (Japan)
  - Art. 24, at 81, 86 (note 21), 203
  - Art. 25, *see* Article 25 actions
  - Art. 26, at 51 (note 10), 53 (note 20)
  - Art. 28, at 47 (note 61)
  - Art. 29, at 47 (note 62)
  - Art. 39, at 76 (note 157)
  - Art. 45, at 126 (note 54)
  - Art. 49, at 51 (notes 7, 9, 11)
  - Art. 50, at 51 (notes 8, 9, 11)
  - Art. 66, at 51 (note 12), 52 (note 15)
  - Art. 70-15, at **74-75**, 76
  - Art. 77, at 51 (note 14)
  - Art. 80, at 72 (note 130)
  - Art. 83-2, at 88

- Art. 83-3, at 87, 130 (note 17)
- Art. 83-4, at 89
- Art. 83-5, at 89
- Art. 84, at 35, 54, **73**, 130, 138, 190
- Art. 85, at 54
- Art. 87, at 54
- drafting history of provisions on damages, 35-40
- antitrust law
  - definition, 28
  - EU, 28-29
  - Japan, 28, 134
- appeal
  - against Commission decisions, 188, 221
  - against JFTC decisions, 51
- applicable law
  - EU, 169-170
  - example (EU), 170-171
  - Japan, 172
- arbitral awards in violation of antitrust law, enforcement of, 121-123, 212-219
  - Belgium, 217
  - EU, 212-214
  - France, 215-216
  - Japan, 121-123
  - the Netherlands, 217
  - Switzerland, 213 (note 33)
- arbitration, *see* arbitral awards in violation of antitrust law, enforcement of
- Arbitration Act (Japan), 121
- Article 25 actions (Japan), 50-54
  - elements of, 50
    - comparison with tort actions, 57-58
  - procedural rules, 54
  - statute of limitations, 53
- Ashurst study (EU), **223**, 225 (notes 14, 17)
- Atsugi naval base bid-rigging case* (Japan), 56
- Attorney Act (Japan), 64 (note 86)
- attorney fees, recovery of
  - Belgium, 184
  - England, 184
  - EU, 183
  - Germany, 184
  - Japan, 70
- attorney fee schedule (Japan), 102-103
- audit request prior to residents' lawsuits (Japan), 97-100
- Ausforschungsbeweis* (Germany), 181

Australia (third party funding), 70, 185

Austria

access to the file of the competition authority, 196

origins of antitrust law in Europe, 151

*Austrian Banks* case (EU), 193

*Aviation Authority* case (Sweden), 211 (note 27), 230

## B

bar exam (Japan), 80

Barroso, José Manuel, 158

basic agreement in case of bid-rigging (Japan), 56

Becker, Gary, 237 (note 54), 244, 251

Belgium

arbitral awards in violation of antitrust law, enforcement of, 217

attorney fees, recovery of, 184

collective actions, 181

contingency fees, 183

court costs, recovery of, 184

disclosure, 181

evidentiary value of decisions by competition authority, 188

interim relief, 204

passing-on defense, 176

standing of indirect purchasers, 176

*Benetton* case, *see Eco Swiss v. Benetton* case (EU)

*Beseitigung* (Germany), 203

bid-rigging, *see also* residents' lawsuits

basic agreement (Japan), 56

ceiling price (Japan), 57, 95, 105, 110, 114

complicity of government officials (Japan), 114

liquidated damages (EU, Germany), 166

liquidated damages (Japan), 59-60

criminal offense (Japan), 109 (note 101)

derivative actions, 124-125

disqualification to bid (Japan), 124, 247-248

EU, 284

number of private cases involving, 135 (figure 6.3)

proof, 56-57, 109-110

specific arrangement (Japan), 56

the Netherlands, 232, 284

Bill of Industrial Order (Japan), 36-37

binding effect

of decisions by competition authorities, 71-73, 187

bitumen cartel (EU), 225

*bouwfraude* (the Netherlands), 232, 284

- Bowie, Robert, 153  
breach of statutory duty (England), 164, 165 (note 17)  
brewers and pubs, contracts between, 207  
*bridges bid-rigging case*, *see steel bridges bid-rigging case*  
*BRT v. Sabam* case (EU), 154, 162 (note 3)  
Brussels I Regulation (EU), 166  
budget, 253
  - of the JFTC, 47
  - of the EU, 159*Bundesgerichtshof* (Germany), **152**, 177 (note 86), 181 (note 106)  
*Bundeskartellamt* (Germany) **152**, 196-197, 284  
*bunsho sōfu shokutaku* 文書送付嘱託 (commission (request) to send documents (from the courts to the JFTC)), 77  
*bunsho teishutsu meirei* 文書提出命令 (document disclosure order), 67, 77  
burden of proof, 71, 73, 175, 176, 177, 263  
bureaucracy, 44  
business secrets, disclosure of
  - EU, 195
  - Japan, 75, 76, 88
“but for” test, 262-263, *see also causation*

## C

- candle wax cartel (EU), 225  
*Cardiff Bus* case (England), 173  
car glass cartel (EU), 225  
Carpagnano, Michele, 224  
Cartel Damages Claims NV, **181**, 193, 232  
cartels
  - air cargo cartel (EU), 179, 185, 186, 198 (note 214), 225, 232
  - animal feed phosphates cartel (EU), 225
  - beer cartel (EU), 225
  - bitumen cartel (EU), 225
  - candle wax cartel (EU), 225
  - car glass cartel (EU), 225
  - cathode ray tubes cartel (EU), 225
  - cement cartel (Germany), 181, 186, 232
  - elevator cartel, 30 (note 16), 186, 225
  - fiber-optic cables cartel (Japan), 124
  - flat glass cartel (EU), 225
  - football (replica) shirts cartel (UK), 180
  - gas insulated switchgear cartel (EU), 193, 225, 282
  - hydrogen peroxide cartel (EU), 181, 186, 232, 193
  - kerosene cartel (Japan), *see oil cartel*
  - LCD panels cartel (EU), 225

- marine hose cartel (EU), 225
- oil cartel (Japan), 61, 64, 72, 131, 141, **257-264**, 289
- overcharge, 247, 273
- sugar cartel (Spain), 230
- synthetic rubber cartel (EU), 225
- vitamins cartel (EU), 167 (note 27), 172-173, 176-177, 198 (note 214), 230
- CAT, *see* Competition Appeal Tribunal
- causation, 272-273, 274
  - before and after test, 262
  - burden of proof, 274
  - but for test, 262-263
  - Japan, 50, 55, 257, 263, 270
  - EU, 163, 256
- CDC, *see* Cartel Damages Claims NV
- CDC hydrogen peroxide* case (Germany, Finland), 193
- cease-and-desist orders (by the JFTC), 51
- cement cartel (Germany), 181, 186, 232
- chakushukin* 着手金 (initial retainer (of attorney)), 68
- checks and balances, 47
- chihō seido chōsa kai* 地方制度調査会 (Local Government System Research Council), 111
- citizen lawsuits (Japan), 93
- Citizens' Ombudsman (Japan), **95-96**, 283, 287, 288
- Civil Code (Belgium)
  - Art. 1376, at 210 (note 23)
  - Art. 1382, at 165 (note 14), 176
- Civil Code (France),
  - Art. 1376, at 210 (note 23)
  - Art. 1382, at 176
- Civil Code (Germany)
  - § 398, at 181
  - §§ 812-822, at 210 (note 23)
- Civil Code (Italy)
  - Art. 1338, at 165 (note 18), 187 (note 141)
- Civil Code (Japan)
  - Art. 90, at 117, *see also* voidness (Japan)
  - Art. 404, at 61 (note 63), 71 (note 127), 174 (note 70), *see also* pre-judgment interest, Japan
  - Art. 419, at 268 (note 61)
  - Art. 420, at 59
  - Art. 703, at 120, *see also* unjust enrichment
  - Art. 704, at 120
  - Art. 709, at 55
  - Art. 724, at 54 (note 23)

- Civil Execution Act (Japan), 278  
 civil liability insurance cartel (Italy), 225  
 Civil Provisional Remedies Act (Japan), 81  
 Claims Funding International plc, 185  
 class actions, *see* collective actions  
 Coffee, John, 285, 287  
*cohabitation* (France), 159  
 collective actions  
     Belgium, 181  
     Denmark, 178  
     England, 179-189  
     EU, 177-181  
     Germany, 180-181  
     Japan, **62-65**, 256-264  
     the Netherlands, 178  
     opt-in versus opt-out, 274-276  
     Portugal, 178  
     U.S., 62-63  
 collective redress, *see* collective actions  
 Combe, Emmanuel, 249  
 Commission decisions, binding effect of, 187  
 Companies Act (Japan), 123 (note 43)  
 compensation, *see also* damages  
     as a goal of private antitrust litigation, 238  
 Competition Act (UK), 179, 188 (note 152), 281 (notes 108-110)  
 Competition Appeal Tribunal (UK), 280  
 competition law (distinction with antitrust law), 28-29  
 complaints  
     EU, 220-221  
     Japan, 126  
 conditional fees, 67, 182, 183  
 confidentiality of documents in the competition authority's file, 74-79, 191-200, *see also* access to file  
 confidentiality ring, 89, 195 (note 195)  
 confidentiality order, 89, 195 (note 195)  
 conflict-of-laws, *see also* private international law  
     EU, 169-171  
     Japan, 171-172  
 Congress (U.S.), 45-46, 158  
 Connor, John M., 247 (note 93)  
 consent decisions (Japan), **52**, 72  
 consent decrees (U.S.), 73  
 constitution, 152  
     economic, 152

residents' lawsuits involving the, 93  
constitutional model (link with private enforcement)  
EU, 159-160  
Japan, 46-47  
U.S., 45-46  
construction industry  
Japan, 104, 109, 284  
the Netherlands, 232, **284**  
Consumer Contract Act (Japan), 65  
consumers  
associations, 65, 178, 180, 259, 260, 277, 288  
damages recovered, 141 (figure 6.5), 232  
protection, 45, 65, 158, 201  
contingency fees  
Belgium, 183  
definition, 67  
England, 183  
EU, 182-183  
Germany, 183  
Japan, 68  
contractual damages  
EU, 165-166  
Germany, 166  
Japan, 59-60  
corporate statements, 75, 190, 191, 195-198  
*cosmetics* cases (Japan), 83-85  
cost-benefit balance, 266  
costs, *see also* court costs  
of collective actions, 241  
of indirect purchaser lawsuits, 267-269 (figures 13.2, 13.3)  
*Courage v. Crehan* case (EU), 148, 149, 155, **162-163**, 175, 207, 208, 209  
Court Act (Japan), 96 (note 37)  
court costs, recovery of  
Belgium, 184  
England, 184  
EU, 183  
Germany, 184  
Japan, 69-70  
Court of Justice (EU), 26, **154**  
criminal code (Japan)  
Art. 96-3, 109 (note 101), 139 (note 58)  
criminal proceedings, 74, 104, 139, 259  
criminal sanctions, 139, 247  
*cy pres* distributions, 275

Cyprus (punitive damages), 173  
 Czech Republic (pre-judgment interest), 187

## D

*daii soshō* 代位訴訟 (action in subrogation; litigation on behalf of), 94, 112  
 damages  
     amount, 71, 186-187, 263  
     awarded, highest (EU), 230 (figure 11.1)  
     awarded, highest (Japan), 231 (figure 11.2)  
     calculation, 71, 186-187  
     contractual, 59-60, 165-166  
     estimation, 71, 186  
     exemplary, *see* punitive damages  
     interest, *see* interest  
     liquidated, 59-60, 166  
     punitive, 60-61, 172-174  
     treble, 37-39, 60  
*damnum emergens* (EU), 186  
*Danish Post* case (Denmark), 230  
 decentralization (of antitrust law enforcement, EU), 155-158  
 decisions by the JFTC  
     consent decisions, 52  
     formal decisions, 52  
     recommendation decisions, 52  
*de facto* presumption, 72  
 Denmark, 230  
     collective actions, 178  
     passing-on defense, 177  
 derivative actions  
     Companies Act (Japan), 123  
     EU, 219  
     Local Autonomy Act (Japan), 94  
 derivative lawsuits, *see* derivative actions  
 detection (of antitrust violations)  
     by private antitrust litigation (EU), **228-229**  
     by private antitrust litigation (Japan), **136-137**, 142  
     rate, 244, 246, 249 (note 103)  
 deterrence (of antitrust violations), 136-137, 140, 142, 228-229, 242  
     as a goal of private antitrust litigation, 238-240  
     optimal level, 242  
     specific deterrence, 140  
     under-deterrence (Japan), 246  
     under-deterrence (EU), 248  
 Diet (Japan), 46, 47

direct effect (of the EEC treaty), 154, 162  
directors' liability  
    EU, 123-125  
    Japan, 219-220  
direct purchasers, 61 (note 64), 174, 182, 200, **265**, 276  
disclosure, 65-66, 181, *see also* discovery  
    *inter partes* (of documents from the competition authority's file), 197  
discovery  
    Belgium, 181  
    England, 181  
    EU, 181-182  
    Germany, 181  
    Japan, 67, 88  
distributors, 59, 207, 208, 265  
divided Diet (Japan), 46  
document production order (Japan), 67, 77  
*dōi shinketsu* 同意審決 (consent decision), 52  
dominant position, abuse of, *see* abuse of a dominant position  
double jeopardy, 172  
Düsseldorf Higher Regional Court, 152  
Dutch construction industry, *see* construction industry (the Netherlands)

## E

*Ebisu Shokuhin Kigyō Kumiai* case (Japan), 54, 126  
*Eco-emballages* case (France), 196 (note 199)  
economic analysis of private enforcement, 136-140, 142, 237 (note 54), 242-254  
economies  
    plan-rational (vs. market-rational), 43  
*Eco Swiss v. Benetton* case (EU), 210, **212-214**, 219  
Edwards mission, 37  
*Edwin and Lee Jeans* case (Japan), 82  
effectiveness, principle of, **149-150**, 163, 176, 201  
*Ehime shrine donation* case (Japan), 93  
*einstweilige Verfügung* (Germany), 204  
elevator cartel (EU), 30 (note 16), 186, 225  
empirical data  
    on costs, 267, 269  
    on private enforcement in Japan, 127-144  
    on private enforcement in the EU, 223-233  
    prior studies on the EU, 223-224  
*EnBW Energie Baden-Württemberg AG v. Commission* (EU), 193, 194  
England and Wales, *see also* United Kingdom  
    collective actions, 179-189  
    contingency fees, 183

court costs, recovery of, 184  
 discovery, 181  
 empirical data, 223-224  
 exemplary damages, 172-173  
 follow-on actions, 280-283  
 funding of litigation, 185  
 interim relief, 205  
 legal basis for damages actions, 164  
 statute of limitations, 281  
 Enterprise Act (UK), 180 (note 100), 188 (note 152), 280 (note 107), 281 (note 108)  
 entrepreneurial litigation, 285-288  
 equivalence, principle of, **149-150**, 201  
*Erfolgshonorar* (Germany), 183  
 European Union  
   antitrust law, 28-29  
   competition law, 28-29  
 evidence, *see also* substantial evidence rule  
   access to, *see* discovery  
   of bid-rigging, 56-57, 109-110  
   sharing of evidence by the JFTC, 76-77  
 evidentiary value of decisions by competition authorities  
   Belgium, 188  
   European Commission, 187-188  
   Germany, 188  
   JFTC, 71-73  
   national competition authorities, 188  
   UK, 188  
   U.S., 73  
*ex aequo et bono* (estimation of damages), 71, 186-187 (note 142)  
 exemplary damages, *see* punitive damages  
*exequatur*, 212, 217  
*ex officio* application of antitrust law, **210**, 214, 218  
 extreme damage (Japan, injunctive relief), 87  
*ex turpi causa non oritur actio*, 220

## F

face-to-face selling, 83  
 fact pleading, 65-67  
 failsafe function of private enforcement, 46, 237, 238 (note 55)  
 fault  
   Japan, 55  
   EU, 163-165  
 fees, *see* attorney fees, conditional fees, contingency fees, filing fees  
 filing fees (Japan), 69, 100

- fines  
EU, 248  
Japan, *see* surcharges  
Finland, 193  
First, Harry, 40, 44  
fishing expedition (Germany, evidence), 181  
flat glass cartel (EU), 225  
follow-on actions, 109, 129, 225, **252, 280-283**  
    empirical data (EU), **228-229**  
    empirical data (Japan), **136-137**, 142  
    U.S., 41  
football (replica) shirts cartel (UK), 180  
formal decisions (by the JFTC), 52  
France  
    access to the file of the competition authority, 196  
    arbitral awards in violation of antitrust law, enforcement of, 215-216  
    standing of indirect purchasers, 176  
    passing-on defense, 176-177  
*Francovich v. Italy* case (EU), 155, 162  
Freedom of Information Act (U.S.), 192  
Freedom of Information Act (the Netherlands), 196 (note 200)  
*Fujiki v. Shiseidō Cosmetics* case (Japan), 83  
*fukōseina torihiki hōhō* 不公正な取引方法 (unfair trade practices), 28  
    number of cases involving, 135 (figure 6.3)  
*fumus boni iuris*, 204  
funding of litigation, *see also* third party funding  
    EU, 185-186  
    Japan, 70  
*futōna torihiki seigen* 不当な取引制限 (unreasonable restraints of trade), 28  
    number of cases involving, 135 (figure 6.3)  
*futō ritoku* 不当利得 (unjust enrichment), 120  
*futō ritoku henkan seikyū* 不当利得返還請求 (action for restitution of unjust enrichment), 120  
    Antimonopoly Act  
    Local Autonomy Act  
future of private antitrust litigation, 233

## G

- Garden Cottage Foods* (England), 164, 204  
gas insulated switchgear cartel (EU), 193, 225, 282  
*Gegenstandswert* (Germany), 184  
General Court (EU), 26, 193-194, 221  
Gerber, David, 151  
*Gerichtskosten* (Germany), 184

## Germany

- access to the file of the competition authority, 196
- attorney fees, recovery of, 184
- collective actions, 180-181
- contingency fees, 183
- court costs, recovery of, 184
- discovery, 181
- empirical data, 224
- funding of litigation, 186
- interim relief, 204-205
- liquidated damages, 166
- ordoliberalism, 152
- origins of antitrust law in Europe, 151, 152-153
- passing-on defense, 177
- standing of indirect purchasers, 174, 177

*Gesetz gegen Wettbewerbsbeschränkungen*, see Act Against Restraints of Competition

*Gifu Credit Cooperative* case (Japan), 118-119

*gijutsu mata ha shokugyō no himitsu* 技術又は職業の秘密 (technical or professional secrets), disclosure of, 88

*gimuzuke soshō* 義務付け訴訟 (*mandamus* action, litigation to impose a duty), 112

Green Paper on antitrust damages actions, 157 (note 39)

Group Litigation Order (England), 179

*GT Linien A/S* case (Denmark), 177 (note 88), 230

guidance on quantifying harm (EU), 187

guidelines on sharing of evidence (JFTC), 76-77

## H

*haijo sochi meirei* 排除措置命令 (cease-and-desist order), 51

Hausfeld LLP, 186, 200 (note 220)

*himitsu hoji meirei* 秘密保持命令 (protective order, confidentiality order), 89

history of private antitrust litigation

EU, 151-160

Japan, 35-48

*hōkoku* 報告 (complaint (to the JFTC)), 126

*hōritsujō no suitei* 法律上の推定 (legal presumption), 72

Hungary, 182 (note 113), 187

hydrogen peroxide cartel (EU), 181, 186, 232, 193

## I

*ichijirushii songai* 著しい損害 (extreme damages (as a requirement for injunctive relief)), 87

*ihan sengen shinketsu* 違反宣言審決 *see ihō sengen shinketsu* 違法宣言審決

*ihōsei* 違法性 (unlawfulness, wrongful conduct), 55  
*ihō senken shinketsu* 違法宣言審決 (JFTC decision annulling JFTC order but affirming the existence of an antitrust violation), 52  
*Illinois Brick* case (U.S.), 61, 174, 276  
Illinois Brick Repealer Statutes (U.S.), 61 (note 64)  
impact assessment accompanying White Paper on antitrust damages actions, 223, 256 (note 4)  
*in camera* examination of documents (Japan), 89  
*indemnité de procédure* (Belgium), 184  
indirect purchaser lawsuits, *see also* standing of indirect purchasers  
    Japan, 61, **255-272**  
    EU, 174, **272-277**  
        merits, 264-266  
        problems, 266-272  
        U.S., 61  
industrial policy, 43  
inflation, 258, 263  
Information Disclosure Act (Japan), **78-79**, 199  
*ingakankei* 因果關係 (causal relationship), 55  
inhabitant litigation, 93  
injunctions  
    Belgium, 202-203, 204  
    *Beseitigung* (Germany), 203  
    empirical data (EU), 226  
    empirical data (Japan), 132 (figure 6.2), 136  
    England, 202, 205  
    EU, 201, 277-278  
    Germany, 203, 204-205  
    Japan, 81-89, 277-280  
    mandatory (England), 202  
    permanent (Japan), 82, 86-88  
    prohibitory (England), 202  
    *quia timet* injunctions (England), 202  
    scope of conduct (Japan), 86, 278  
    *Unterlassung* (Germany), 203, 205 (note 22)  
*Institut de droit de la concurrence*, 224  
*Institute of Competition Law*, 224  
insurance (for legal expenses), 185  
intent, 55, 163-165  
interest, *see also* pre-judgment interest  
    EU, **173-174, 187**  
    Japan, 61, **71**, 120  
    U.S., 71  
interested persons (Japan, access to file), 74

- Interest Rate Restriction Act (Japan), 119  
 interim injunctions, *see* interim relief  
 interim relief  
     Belgium, 204  
     England, 205  
     EU, 202, 204-205  
     Germany, 204-205  
     Japan, 81-85  
 interlocutory injunctions, *see* interim relief  
 international cases (jurisdiction and applicable law), 166-172  
*inter partes* disclosure (of documents from the competition authority's file), 197  
 Ireland, 173, 181, 185  
 Issacharoff, Samuel, 286  
 Italy, 165 (note 18), 176 (note 79), 186-187,  
     empirical data, 224, 225, 229, 230 (note 41), 232  
*iyakukinjōkō* 違約金条項 (contractual penalty clause), 59

**J**

- Japan Fair Trade Commission  
     criminal prosecutions, 104, 107, 139, 140 (note 60), 247, 257, 259  
     decisions, *see* JFTC decisions  
     guidelines on sharing of evidence, 76-77  
     opinion on amount of damages, 73  
     orders, *see* JFTC orders  
     surcharges, 51, 139, **246-247**  
*jet fuel bid-rigging case* (Japan), 120 (note 22), 231  
 JFTC, *see* Japan Fair Trade Commission  
 JFTC decisions  
     consent decisions, **52**, 72  
     formal decisions, 52  
     recommendation decisions, 52  
 JFTC orders  
     cease-and-desist orders, 51  
     surcharge orders, 51  
*jijitsujō no suitei* 事実上の推定 (*de facto* presumption), 72  
*jiken kiroku* 事件記録 (file (of the JFTC)), 74  
 Johnson, Chalmers, 43  
*jōhōkōkaihō* 情報公開法 (Information Disclosure Act) *see* Information Disclosure Act  
*juchūchōsei* 受注調整 (specific arrangement (in bid-rigging cases)), 56  
*jūmin kansa seikyū* 住民監査請求 (audit request by residents' (prior to residents' lawsuit)), 97  
*jūmin soshō* 住民訴訟 (residents' lawsuits), 91, 93  
 jurisdiction

Competition Appeal Tribunal (England), 179 (note 97), 280-281  
High Court (England), 179 (note 97), 281, 283 (note 119)  
in international cases (EU), 166-169  
in international cases (Japan), 171  
    injunctive relief (Japan), 87  
jury trial, 286

## K

*kabunushi daihyō soshō* 株主代表訴訟 (derivative actions), 123  
*kachōkin* 課徵金 (surcharges), 246  
*kachōkin gemmen* 課徵金減免 (leniency), 126  
*kachōkin nōfu meirei* 課徵金納付命令 (surcharge orders), 51  
*kaisan seikyū* 解散請求 (demand for dissolution (of the local assembly)), 92  
*kaishoku seikyū* 解職請求 (demand for a recall), 92  
*kankoku* 勧告 (recommendation (by the JFTC)), 52  
*kankoku shinketsu* 勸告審決 (recommendation decision (by the JFTC)), 52  
*kansaiin* 監査委員 (audit board (which assesses residents' audit request)), 97  
*kansa seikyū* 監査請求 (audit request (before residents' lawsuit)), 97  
*kanseidangō* 官製談合 (bid-rigging with complicity of government officials), 114  
*Kaō Cosmetics* case (Japan), 84  
Kaplow, Louis, 239-240  
KapMuG (Germany), 180 (note 103)  
*kari no chii* 仮の地位 (provisional status), 81  
*kari shobun meirei* 仮処分命令 (provisional disposition), 81  
*kashitsu* 過失 (negligence), 55  
*Kawachiya v. Shiseidō Cosmetics* case (Japan), 84  
*kenri shingai* 権利侵害 (infringement of rights, wrongful conduct), 55  
kerosene cartel (Japan), *see* oil cartel (Japan)  
*kihongōi* 基本合意 (basic agreement (in bid-rigging cases)), 56  
*kikyaku*棄却 (dismissal (on the merits)), 50  
Kime draft, **37-39**, 60  
Kime, Posey T., 37  
*koi* 故意 (intent), 55  
*kōjoryōzoku* 公序良俗 (public policy and good morals), 117  
Komninos, Assimakis, 238 (note 57)  
Kozuka, Sōichirō, 91 (note 2), 115  
*kyakka* 却下 (dismissal (without judging the merits)), 50  
*kyōdō soshō* 共同訴訟 (joint litigation), 63  
*kyūiken seido* 求意見制度 (request system (for JFTC's opinion on damages)), 73

## L

Landes, W.M., 251  
law and economics, *see* economic analysis of private enforcement

- law firms, 79-80, 200  
 lawyers  
   activist, 80, 96, 125, 288  
   per capita, 68, 80, 143  
 legal aid, 261  
 legal expenses insurance, 185  
 legislative history  
   damages (Japan), 35-39  
   EEC Treaty, 153  
   injunctions (Japan), 85-86  
 legislature, 45-48, 158-159  
 legitimacy  
   of the EU, 159  
*Lei de acção popular*, *see* Act on Popular Actions (Portugal)  
 leniency, *see also* leniency material  
   EU, 192-200  
   Japan, 75, 76, 78, 126  
 leniency material, 75, 76, 78, **191**, 192-200  
*lex fori* principle, 169  
 limitation period, *see* statute of limitations  
*Linde v. Halyvourgiki*, 216, 218  
 liquidated damages  
   Japan, 59-60  
   EU, 166  
 litigation costs, *see* costs, court costs  
 litigation financing, *see* third party funding  
 local autonomy, 91-92  
 Local Autonomy Act (Japan) , 91  
 local governments, 30, **91-92**, 96  
 “loser pays” rule, 69-70, 183  
 loss  
   actual loss, 186  
   deadweight loss, 244, 247, 248  
   of profit, 186  
*lucrum cessans* (EU), 186

## M

- MacArthur, General, 35  
*Ma Liste de Courses* case (France), 196  
*Manfredi v. Lloyd Adriatico Assicurazione* case (EU), 148, 149, 155, **162-163**, 164, 172, 175, 186, 207, 210, 276  
*Marketing Displays International* case (the Netherlands), 217  
 Market Practices and Consumer Protection Act (Belgium), 202 (notes 6 and 8)  
*Marktpraktijken, Wet betreffende* (Belgium), 202 (notes 6 and 8)

- Marshall II* case (EU), 187  
*Masterfoods* case (EU), 188, 209  
*Matsushita television resale price maintenance* case (Japan), 61, 72, 141  
*Max Factor Cosmetics* case (Japan), 85  
*meirei* 命令 (order (by the JFTC)), 51  
merger control, 28 (note 7), 29, 193  
mergers, 28 (note 7), 29, 193  
*mesures provisoires* (Belgium), 202, 204  
Mikiya, Osamu, 80  
Miller, Geoffrey P., 286  
*Minamata* litigation, 115  
Ministry of International Trade and Industry (MITI) (Japan), 47, 85, 259, 262, 271  
Ministry of Land, Infrastructure, Transport and Tourism (Japan), 59  
*minshū soshō* 民衆訴訟 (citizen actions), 93  
misleading representations, 65  
MITI, *see* Ministry of International Trade and Industry  
Monnier, Constance, 249  
monopolization, *see* private monopolization  
*Mors v. Labinal* case (France), 230  
*mōshitate tesūryō* 申立手数料 (filing fees), 69, 100  
Motta, Massimo, 248-249  
*mukashitsu sekinin* 無過失責任 (strict liability), 50  
*mukō* 無効 (void), 117  
Mulheron, Rachael, 274  
multiple defendants, 167, 168, 170  
municipalities, 30, 92, 96, 166  
*Musterverfahren* (Germany), 180

## N

- Nagoya subway construction bid-rigging* case (Japan), 125 (note 51)  
Nakamura, Kishirō, 105  
*National Grid* case (England), 190, 198, 198-199 (note 217), 282  
*ne bis in idem*, 172  
negative value claims, 62  
negligence  
    Japan, 50, 55, 92, 97  
    EU, 163-165  
*nejire kokkai* ねじれ国会 (divided Diet), 46  
Netherlands, the  
    access to the file of the competition authorities, 196  
    bid-rigging in the construction industry, 232, 284  
    collective actions, 178  
    funding of litigation, 185-186  
Nishimura & Asahi, 79 (note 178)

Nishimura, Nobufumi, 40  
 “no cure, no pay”, 67, 182  
 notice pleading, 65, 67  
 “no win, no fee”, *see* “no cure, no pay”  
 null and void, *see* nullity  
 nullity  
 EU, 207  
 Japan, *see* voidness

## ○

objective action, *see* *actio popularis*  
 occupation authorities, American, 35, 37, 40-41, 92  
 oil cartel (Japan), 61, 64, 72, 131, 141, **257-264**, 289  
 oil crisis (Japan), 258  
 oil shock (Japan), 258  
 Ōkawa, Takashi, 80  
*okotaru jijitsu* 忘る事実 (negligence (challenged in residents' lawsuits)), 92, 97  
 ombudsman  
     Citizens' Ombudsman (Japan), **95-96**, 283, 287, 288  
     Denmark, 178  
 one-way fee shifting, 69, 70, 101  
 OPEC, 258, 262, 271  
 opportunity costs (of litigation), 241, 253, 266, 268  
 optimal deterrence, 242  
 optimal sanction, 242, **243**  
 opt-in collective action, 63, 178, 256, 272-274, 276, 286  
 opt-out collective action, 62-64, 177, **178**, 181, 274, **275-276**  
 ordoliberalism, 152  
 origins of private antitrust litigation, *see* history of private antitrust litigation  
 overcharge, average  
     EU, 273  
     Japan, 71, **247**  
 overconfidence bias, 245, 249, 250

## ¶

*pactum de quota litis*, 67, *see also* contingency fees  
 Pareto conflict theorem, 239 (note 59)  
 parliamentary system, 46, 159  
 passing-on defense  
     Belgium, 176  
     Denmark, 177  
     EU, 274  
     France, 176-177

- Germany, 177
- Japan, 61-62
- patents (invalidity of duty to pay royalties after expiry), 122
- penalty clause (Japan), 59-60
- permanent injunctions, 82, 202, 205
- Peyer, Sebastian, 224
- Pfleiderer* case (EU), 196-197
- plaintiffs, type of (Japan), 141 (figure 6.5)
- plaintiffs' bar
  - EU, 200
  - Japan, 79-80
- plaintiffs' lawyers, *see* plaintiffs' bar
- pleading standards

---

  - Japan, 67
  - U.S., 65-66
- Poland, 187
- Portugal
  - acção popular*, 178
  - collective actions, 178
- Posner, Richard, 239, 251
- predatory pricing, 69, 88, 173, **279-280**, *see also* pricing at unjustly low prices
- pre-existing documents (leniency), 191, 195, 197
- Prefectures, 91, 96
- pre-judgment interest
  - EU, 173-174, 187
  - Japan, 60-61
- preliminary injunctions, *see* interim relief
- presumption (based on decision by competition authorities)
  - Belgium, 188
  - EU, 187-189
  - Germany, 188
  - Japan, 72
  - UK, 188
- pricing
  - at unjustly low prices, 134, 279
  - predatory, 69, 88, 173, **279-280**
- principle of effectiveness, *see* effectiveness, principle of
- principle of equivalence, *see* equivalence, principle of
- private attorney general
  - Japan, 114-116
  - U.S., 45, 285
- private enforcement of antitrust law
  - arguments against, 238

- arguments in favor, 237
- meaning, 29
- private enforcement regimes, U.S. scholarship regarding, 45, 158
- private international law
  - EU, 166-171
  - Japan, 171-172
- private monopolization (Japan), 28, 86, 134, 247, 278, 280
  - number of cases involving, 135 (figure 6.3)
- procedural autonomy, principle (EU), 150
- procedural law, 147
- professional secrets, 88, *see also* business secrets, disclosure of protective order, 89
- provisional injunctions, *see* interim relief
- public interest litigation, 287
- public policy (and antitrust law)
  - Japan, 117-118
  - EU, 210, 212-213
  - the Netherlands, 213
- punitive damages
  - Cyprus, 173
  - England, 172
  - EU, 172-174
  - Ireland, 173
  - Japan, 60-61
- pyramid scheme, 131, 138 (note 54)

## **Q**

- quia timet* injunctions, 202

## **R**

- RCA cartel (Italy), *see* civil liability insurance cartel (Italy)
- recall (of mayor, prefectoral governor, etc.) (Japan), 92
- rechtsplegingsvergoeding* (Belgium), 184
- recommendation decisions (by the JFTC), 52
- Regulation 17/62 (EU), 153, 154-155
- Regulation 44/2001 (EU), *see* Brussels I Regulation
- Regulation 1049/2001, *see* Transparency Regulation
- Regulation 1/2003 (EU), 156
- Regulation 864/2007, *see* Rome II Regulation
- Regulation 593/2008 (EU), *see* Rome I Regulation
- regulatory culture (Japan), 44
- remedies (EU), 147-149, 207
  - Japan

- repeat offenders, 246, 248  
resale price maintenance, *see* vertical price-fixing  
residents' lawsuits (Japan), 91-116  
    amendment, 111  
    attorney fees, 101  
    case law, 104-111  
    filing fee, 100  
    impact on deterrence, 140  
    procedure, 99 (figure 4.1)  
    significance, 114-116  
    time limits, 99 (figure 4.1)  
    transplanting to the EU, 284-285  
*res judicata*, 62, 189, 214  
*restitutio ad integrum*, 172  
restitution, 120, 210  
restitutionary damages (England), 211 (note 24)  
restraints of trade, *see* unreasonable restraints of trade (Japan)  
review of arbitral awards, *see* arbitral awards in violation of antitrust law, enforcement of  
*rigaikankeinin* 利害関係人 (interested persons (with respect to access to the file)), 74  
right to damages (based on the Treaty), 162-163  
*rikōru* リコール (recall (of mayor, prefectural governor, etc.)), 92  
*rinienshī* リニエンシー (leniency), 126  
Rodger, Barry J., 223  
Rome I Regulation (EU), 169  
Rome II Regulation (EU), 170  
Rule 23 (Federal Rules of Civil Procedure), 41, 62 (note 73), 266 (note 52)

## S

- Safeway Stores v. Twigger* case (England), 220  
*Saitama Saturday Club* case (Japan), 77, **104**  
Salwin, Lester, 38  
sanctions, optimal level of, 242, 243  
*sangyō chitsujo hōan* 産業秩序法案 (Bill of Industrial Order), 36  
*sangyō seisaku* 産業政策 (industrial policy), 43  
*SAS* case (Sweden), *see* *Aviation Authority* case (Sweden)  
*sashitome seikyū* 差止請求, 81, 94  
*Schutzgesetzverletzung* (Germany), 177  
*Schutznormtheorie* (Germany), 177  
*Seal bid*-rigging case (Japan), 117, 120, 129  
*seikō hōshūkin* 成功報酬金 (success fee), 68  
*seishiki shinketsu* 正式審決 (formal decision (of the JFTC, prior to 1993)), 52  
Sensui, Fumio, 40

- senteitōjisha* 選定当事者 (representative parties (in litigation)), 63
- separation of powers
- EU, 158-160
  - Japan, 45-48
- separation of State and religion, 93
- settlements, 125, 130, 138, 178, 203, 225, **230-231**, 232, 261, 276, 284 (note 127), 286
- shareholders, 80, 123-125, 219
- Shavell, Steven, 239-240
- shinketsu* 審決 ((JFTC) decision), 51
- shinketsushū*, 129
- shinketsu torikeshi soshō* 審決取消訴訟 (annulment proceedings (against a JFTC decision)), 51
- shinketsu zenchi shugi* 審決前置主義 (the principle that a damages action on the basis of the Antimonopoly Act requires a prior JFTC decision)
- historical background, 39, 41
  - current system, 50-51
- shinkoku* 申告 (complaint (with the JFTC)), 126
- shinpan* 審判 (hearing (before the JFTC)), 51
- shinpankan* 審判官 (hearing examiner (in the administrative proceedings before the JFTC)), 51
- shinpan shinketsu* 審判審決 (formal decision (of the JFTC)), 52
- shinpan tetsuzuki* 審判手続 (administrative proceedings (before the JFTC)), 51
- shiteki dokusen* 私的独占 (private monopolization), 28
- number of cases involving, figure 6.3
- shokugyō no himitsu* 職業の秘密 (professional secrets), 88
- SNF v. Cytec* (Belgium, France), 215-216, 217
- songai baishō gaku no yotei jōkō* 損害賠償額の予定条項 (liquidated damages clause), 59
- songaibaishō seikyū* 損害賠償請求 (damages claim)
- Antimonopoly Act, 50
  - Local Autonomy Act, 94
- soshōhiyō* 訴訟費用 (court costs), 69
- Spain, 226 (note 21), 230
- Spanish sugar cartel case*, *see sugar cartel case*
- specific arrangement (bid-rigging), 56
- speed of proceedings, 270, 278
- stakingvordering* (Belgium), *see vordering tot staking* (Belgium)
- stand-alone actions, 109, 129, 225, **252**, 254, 283
- empirical data (EU), **228-229**
  - empirical data (Japan), **136-137**, 142
- standing
- Belgium, 176
  - EU, 174

France, 176  
Germany, 177  
Japan, 61  
of indirect purchasers, 61, 174  
residents' lawsuits, 96  
U.S., 61  
State aid (EU), 29  
statement of objections, 192, 193 (note 187), 196, 198  
statute of limitations  
    Article 25 actions (Japan), 53  
    England, 281  
    EU, 149  
    tort actions (Japan), 53-54 (note 23)  
*steel bridges bid-rigging case* (Japan), 59 (note 51), 80, 125 (notes 50 and 53)  
Stigler, George, 251  
strict liability  
    EU, 164  
    Japan, 50  
Structural Impediments Initiative, 76, 119 (note 11),  
subrogation, 112  
substantial evidence rule (Japan), 72  
success fee, 68, 183  
success rate (of antitrust litigation)  
    EU, 228  
    Japan, 136  
sugar cartel (Spain), 230 (note 40)  
superior bargaining position, abuse of, *see* abuse of a superior bargaining position  
surcharges (Japan), 51, 139, 246-247  
surcharge order, 51  
Sweden, 151, 187, 211, 230-231  
Switzerland, 213  
synthetic rubber cartel (EU), 225

## T

*Tagonoura sludge dumping case* (Japan), 95  
*taimenhanbai* 対面販売 (face-to-face selling), 83  
taxpayer lawsuits, 93  
*tetsuzukiteki kōjō* 手続的公序 (procedural public policy), 123  
*Thalès v. Euromissile*, 215, 218  
third party funding (EU), 185, 233, 288  
time-bar, *see* statute of limitations  
Tokyo High Court  
    jurisdiction, 54  
*Tokyo oil cartel case* (Japan), 61, 64, 72, 131, 138, 141, 257-264

- Tokyo waste incineration bid-rigging case* (Japan), 110, 114, 138 (note 56), 231  
 (Table 11.2)
- torpedo actions, 282
- tort actions
- elements of (EU), 163
  - elements of (Japan), 55
  - fault, 163-165
  - Japan, 54-57
  - statute of limitations, 53-54 (note 23)
- Tōshiba Elevator case* (Japan), 131, 136
- trade associations, 86 (note 21), 180, 277, 288
- transaction costs (of litigation), 241, 253, 266, 275, 287 (note 135)
- Transparency Regulation (EU), 192
- Treaty on the Functioning of the European Union, 26, 28
- Article 101(2), at 148, 154, 207-208, *see also* nullity (EU)
  - Article 101(3), at 154, 155, **156**
  - Article 102, at 148, 153, 154, 208, 227, *see also* abuse of a dominant position
  - right to damages, 162
- treble damages, 41, 173-174
- in Kime draft, 37-39, 41
  - U.S., 60
- Trust Act (Japan), 64 (note 64)
- Tsu Jichinsai case* (Japan), 93
- Tsuruoka oil cartel case* (Japan), 54-55, 61, 64, 72, 131, 141, 257-264
- Turkey, 60 (note 57), 174

## U

- UK, *see* United Kingdom
- unfair competition, 36, 82, 170
- Unfair Competition Prevention Act (Japan), 82
- unfair trade practices (Japan), 28, **134**, 279
- number of cases involving, 135 (figure 6.3)
- United Kingdom, 43, 280
- empirical data, 143, **223-224**, 226, 228, 229, 231
  - evidentiary value of decisions by competition authority, 188
- United States
- as plaintiff in litigation in Japan, 56, 77, 138, 141, 231 (table 11.2)
  - attorney fees, recovery of, 69
  - class actions, 62-63
  - contingency fees, 68
  - court costs, recovery of, 69
  - discovery, 65-67
  - indirect purchasers, 61
  - level of private enforcement, 143

pleading standards, 65  
treble damages, 60  
unjust enrichment  
EU, 210-211  
Japan, 120  
unreasonable restraints of trade (Japan), 28, **134**  
number of cases involving, 135 (figure 6.3)  
*Unterlassung* (Germany), 203, 205 (note 22)  
Upham, Frank, 115, 116  
U.S., *see* United States  
*Usen v. Can* case (Japan), 69, 141, 142

## V

*Van Gend & Loos* case (EU), 154  
van Gerven, Walter, 201 (note 5)  
*Verbandsklage* (Germany), 180 (note 105)  
*Verfügung, einstweilige* (Germany), 204  
vertical price-fixing, 59, 61, 72, 82, **83-84**, 134  
vertical restraints, *see also* vertical price-fixing  
    reluctance to sue (Japan), 265  
    nullity (EU), 207  
    number of cases, 227  
vitamins cartel (EU), 167 (note 27), 172-173, 176-177, 198 (note 214), 230  
void, *see* voidness (Japan)  
voidness (Japan), 117-120  
*voorlopige maatregelen* (Belgium), 202, 204  
*vordering tot staking* (Belgium), 202  
*Vorteilsabschöpfung* (Germany), 180  
*Vorteilsausgleichung* (Germany), 177

## W

waste incineration plants, bid-rigging for the construction of, **110** (table 4.1), 133, 138, **140**, 231 (table 11.2)  
welfare economics, 239  
Which? (consumer association), 180  
White Paper on antitrust damages actions, 157  
Wils, Wouter, 248, 251  
*Wirtschaftsverfassung* (Germany), 152

## Y

*Yamato v. Japan Post* case (Japan), 136, 279-280  
*Yokohama waste incineration bid-rigging* case (Japan), 103, 138 (note 56), 231  
(table 11.2), 263

*Yokosuka bid-rigging case* (Japan), 77, 138, 231 (table 11.2)  
*Yokota bid-rigging case* (Japan), 231 (table 11.2)

## Z

*zaibatsu* 財閥, 36-37  
*zaimu kaikeijō no kōi* 財務会計上の行為 (financial or accounting acts (targeted by residents' lawsuits)), 96